



Circular no.: MCX/207/06

May 19, 2006

Dear Members,

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**Information regarding Compliance Officer**

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In terms of provisions of the Rules, Bye-Laws and Business Rules of the Exchange, the Members of the Exchange are notified as under:

Every member shall appoint a Compliance Officer who shall be responsible for monitoring the compliance of the member in respect of various circulars, guidelines, notifications, etc issued by the Exchange / FMC as well as by the Relevant Authority from time to time. The Compliance Officer shall also be responsible for monitoring compliance of the member in respect of Rules, Bye-Laws and Business Rules of the Exchange.

Members of the Exchange are advised to follow the said regulations and intimate to the Exchange the information in respect of the Compliance Officer so appointed as per the format enclosed as Annexure before September 30, 2006.

Members are also required to intimate the Exchange as and when there is any change in this information / details including change of the Compliance Officer.

Members are requested to ensure compliance of the above before the stipulated date.

For and on behalf of  
**Multi Commodity Exchange of India Limited**

**Kalpesh Shukla**  
Vice President

Encl: As above

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Kindly contact Mr. Khaja Mohideen on 022 – 6649 4000 or send an email at compliance@mcxindia.com for any clarification.

## ON THE LETTER HEAD OF THE MEMBER

Date:

The Compliance Department  
Multi Commodity Exchange of India Ltd  
4<sup>th</sup> Floor, Boston Tower  
Suren Road, Chakala  
Andheri (East)  
Mumbai 400 093

Dear Sir,

This is to inform you that I/we\* have appointed ----- (Name and designation of the compliance officer) as a Compliance Officer in terms of Rules, Bye-Laws, Regulation and Business Rules of the Exchange.

# The appointment of ----- (Name of compliance officer) has been made as a compliance officer in place of ----- (Name of previous compliance officer) who has now ceased to be our compliance officer.

Additional information as required by you is provided as follows:

Name of the compliance officer	Qualification	Address of the office	Contact details	Previous employment (name of organization)
			Office No.: Mobile No.: Email Id.:	

I/We\* undertake to intimate and update the Exchange as and when there is any change in the aforesaid information being submitted to the Exchange.

Yours faithfully  
For ----- (Name of the member)

Countersigned by me

Signature of Director/Partner

Signature of the Compliance Officer

\* *Strike off whichever is not applicable*

# *This para is applicable only in case of change in compliance officer.*