

Applicable for Partnership Firm

Sharing Pattern (For Partnership Firm) (On letterhead of the firm)

Sr. No.	Name	Capital in the Firm	Share in Profit	Share in Losses
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
TOTAL				100%

Date:

1. _____
Signature(s)
of managing partner
with name and
rubber stamp

2. _____
Signature(s)
of managing partner
with name and
rubber stamp

Place:

AUDITOR'S CERTIFICATE

This is to certify that the capital and sharing pattern of _____ as given above, based on my/ our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Date:

For (Name of Accounting Firm)

Place:

Name of the Partner/Proprietor
Chartered Accountant
Membership Number
Rubber stamp of firm

Annexure - DPG-1:

Details of Dominant Promoter Group as on _____ (Date)

Sr. No	Name	No of Shares*		Total Amount Paid*		% of total*		Total
		Self	Relative	Self	Relative	Self	Relative	
1								
2								
3								
4								
Total								

Date:

1. _____
Signature(s)
of managing partner
with name and
rubber stamp

2. _____
Signature(s)
of managing partner
with name and
rubber stamp

Place:

AUDITOR'S CERTIFICATE

This is to certify that the sharing pattern in _____ as given above, based on my/our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Date:

For (Name of Accounting Firm)

Place:

Name of the Partner/Proprietor
Chartered Accountant
Membership Number
Rubber stamp of firm

*For arriving at the sharing of persons constituting the Dominant Promoter Group the shareholding of close relatives, namely parents, spouse, children and their descendants, brothers, sisters and associates only may also be counted provided these relatives give an irrevocable, unconditional support in writing on the prescribed format of Exchange.

Annexure - DPG-2:

Undertaking from Relative of Persons constituting DPG (Dominant Promoter /Partner Group)
{Each relative is to submit separate copy of this disclosure in the form of Annexure}

I, Mr/Ms/Mrs. _____, son / daughter / wife of _____ resident of _____ declare that

I am partner in the Partnership Firm _____ For sharing profit and loss to the extent of _____% as on _____ (Date)

I state that I shall irrevocably and unconditionally support in respect of my sharing pattern.

Mr/ Mrs _____, a partner in the above mentioned partnership firm. I further state that I have no objection to my above mentioned sharing pattern being clubbed with the sharing pattern of Mr / Mrs _____ who is my _____ (give relationship with the latter) for the purpose of determining the dominant partner group of the said Partnership Firm

This support is irrevocable and I also undertake to give prior information to the Multi Commodity Exchange of India Limited before selling or otherwise transferring my part or whole of my above mentioned sharing pattern.

Date: _____ Signature: _____
Place: _____ (Name of the Relative of Persons constituting DPG)

WITNESS BY:

Name:
Address:
Signature:
Place:
Date:

AUDITOR'S CERTIFICATE

This is to certify that the sharing pattern of _____ as indicated above, based on my / our scrutiny of the books of accounts, records and documents is true and correct to the best of my/ our knowledge and as per information provided to my / our satisfaction.

Date: _____ For (Name of Accounting Firm)

Place:

Name of the Partner/Proprietor
Chartered Accountant
Membership Number
Rubber stamp of firm

*For arriving at the sharing of persons constituting the Dominant Promoter Group the shareholding of close relatives, namely parents, spouse, children and their descendants, brothers, sisters and associates only may also be counted provided these relatives give an irrevocable, unconditional support in writing on the prescribed format of Exchange.

Applicable for Corporate

SHAREHOLDING PATTERN (FOR CORPORATES) (ON COMPANY'S LETTER HEAD)

Share Holding Pattern of _____ (Name of Member) as on _____ (Date)

Paid up capital: Rs. _____ (In figures)

Paid up capital: Rs. _____ (In words)

Face value of each equity share (or any other instrument): Rs. ____ (In figures) Rs. _____
(In words)

Sr. No.	Name	Number of shares held	Amount paid-up (Rs.)	% age of total
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
Others @				
TOTAL				100%

NOTE:

Give similar details thereof separately only in case of indirect support taken through the body corporate

Give similar details thereof separately in case of holding company

@ Persons holding 5% or more of the paid up capital should be shown separately and not clubbed in Others.

Date:

1. _____

Signature(s)
of designated directors
with their names and
rubber stamp

2. _____

Signature(s)
of designated directors
with their names
rubber stamp

Place:

AUDITOR'S CERTIFICATE

This is to certify that the Shareholding in _____ as given above, based on my/ our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Date:

For (Name of Accounting Firm)

Place:

Name of the Partner/Proprietor
Chartered Accountant
Membership Number
Rubber stamp of firm

Annexure - DPG-1:

Details of Dominant Promoter Group as on _____ (Date)

Sr. No	Name	No of Shares*		Total Amount Paid*		% of total*		Total
		Self	Relative	Self	Relative	Self	Relative	
1								
2								
3								
4								
Total								

Date:

1. _____
Signature(s)
of designated directors
with name and
rubber stamp

2. _____
Signature(s)
of designated director
with names and
rubber stamp

Place:

AUDITOR'S CERTIFICATE

This is to certify that the Shareholding in _____ as given above, based on my/ our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Date:

For (Name of Accounting Firm)

Place:

Name of the Partner/Proprietor
Chartered Accountant
Membership Number
Rubber stamp of firm

*For arriving at the shareholding of persons constituting the Dominant Promoter Group the shareholding of close relatives, namely parents, spouse, children and their descendants, brothers, sisters and associates only may also be counted provided these relatives give an irrevocable, unconditional support in writing on the prescribed format of Exchange.

Annexure - DPG-2:

Undertaking from Relative of Persons constituting DPG (Dominant Promoter /Partner Group)
{Each relative is to submit separate copy of this disclosure in the form of Annexure}

I, Mr/Ms/Mrs. _____, son / daughter / wife of _____ resident of _____ declare that

I am the absolute owner of _____ (Number of shares) Shares of Rs. _____ each of Rs. _____ per share paid up, which constitutes _____ % of the total paid up capital of the company _____ Private Limited / Limited _____ as on this date.

I state that I shall irrevocably and unconditionally support in respect of my shareholding

Mr/ Mrs _____, a shareholder in the above mentioned company. I further state that I have no objection to my above mentioned shareholding being clubbed with the shareholding of Mr / Mrs _____ who is my _____ (give relationship with the latter) for the purpose of determining the dominant promoter group of the said Company.

This support is irrevocable and I also undertake to give prior information to the Multi Commodity Exchange of India Limited before selling or otherwise transferring my part or whole of my above mentioned shareholding.

Date:
Place:

Signature: _____
(Name of the Relative of Persons constituting DPG)

WITNESS BY:

Name:
Address:
Signature:
Place:
Date:

AUDITOR'S CERTIFICATE

This is to certify that the shareholding of _____ as indicated above, based on my / our scrutiny of the books of accounts, records and documents is true and correct to the best of my/ our knowledge and as per information provided to my / our satisfaction.

Date:
Place:

For (Name of Accounting Firm)

Name of the Partner/Proprietor
Chartered Accountant
Membership Number
Rubber stamp of firm

*For arriving at the shareholding of persons constituting the Dominant Promoter Group the shareholding of close relatives, namely parents, spouse, children and their descendants, brothers, sisters and associates only may also be counted provided these relatives give an irrevocable, unconditional support in writing on the prescribed format of Exchange.

Annexure - DPG-2:

**UNDERTAKING FROM CORPORATES SUPPORTING DPG
(Dominant Promoter Group)**

We, M/s _____ Limited, incorporated as a company under the Companies Act, 1956 and having its registered office at _____ do state as under:

(a) As per the existing norms of Multi Commodity Exchange of India Limited (MCX) the shareholding of Mr / Ms _____* in our company in direct proportion to our shareholding in the TCM Member company, may be reckoned for the purpose of arriving at the dominant group in M/s. _____ (TCM Company).

(b) We are _____% shareholder of the TCM Company and Mr / Ms _____ dominant promoters of the Trading Member Company along with his / her specified relative's i.e. _____ are having _____% shareholding in our company.

(c) Mr / Ms _____* have requested Multi Commodity Exchange of India Limited to consider their shareholding in our company in the permissible proportion to arrive at the dominant shareholders in the TCM Company and also requested us to given an irrevocable undertaking extending unconditional support to Mr / Ms _____ (Name of the Dominant Promoters of the TCM company) to enable Multi Commodity Exchange of India Limited to consider aforesaid as dominant group)

(d) We hereby extend our unconditional and irrevocable support in support of Mr / Ms _____ (Name of the Dominant Promoters of TCM company) for the purpose of the determining the dominant group in the said TCM company.

(e) We also undertake to give prior information to Multi Commodity Exchange of India Limited before effecting any change in the shareholding of Mr / Ms _____*

Date:
Place:

Signature: _____
Name of the Director:

WITNESS BY:

Name:
Address:
Signature:
Place:
Date:

* Name of Dominant Promoters of the TCM company along with their specified relatives having shareholding in the corporate giving the undertaking.

AUDITOR'S CERTIFICATE

This is to certify that the shareholding of M/s _____ is/are as mentioned below, based on our scrutiny of the books of accounts, records and documents.

We further certify that the information given above is true and correct to the best of our knowledge and as per the information provided to our satisfaction.

Sr. No	Name of Shareholder	No of Shares	Paid up Share Capital	% of share holding

Date:

For (Name of Accounting Firm)

Place:

Name of the Partner/Proprietor
Chartered Accountant
Membership Number
Rubber stamp of firm

Note: The above certificate and Board Resolution should be given alongwith the undertaking as given by corporates supporting the dominant promoter group.

BOARD RESOLUTION
(On the letterhead of the company)

(CERTIFIED TRUE COPY OF THE RESOLUTION PASSED AT THE MEETING OF THE BOARD OF DIRECTORS OF _____ (NAME OF COMPANY) HELD ON _____(DATE) AT _____(VENUE)

Resolved that the company do extend its unconditional and irrevocable support in favour of Mr / Ms _____ for the purpose of determining the dominant group in M/s _____ (Trading-cum-Clearing Member) and accordingly, execute necessary documents including an irrevocable undertaking to give effect to the same and submit it to Multi Commodity Exchange of India Limited (MCX).

Resolved further that Mr /Ms _____, Director(s) of the company be and is / are hereby authorised to execute necessary documents including undertakings as prescribed by Multi Commodity Exchange of India Limited from time to time.

Certified to be true

Signature of Chairman/Designated Director
Name of the Company
Name of the Signatory
Rubber stamp