

Annexure - DPG-1:

Details of Dominant Promoter Group as on _____

Sr. No	Name	No of Shares*		Total Amount Paid*		% of total*		Total
		Self	Relative	Self	Relative	Self	Relative	
1								
2								
3								
4								

Total:

Date:

Place:

Signature(s)

CERTIFICATE

This is to certify that the shareholding _____ as given above, based on my / our scrutiny of the books of accounts, records and documents is true and correct to the best of my/ our knowledge and as per the information provided to my /our satisfaction.

Date :

For (Name of Chartered Accountant firm)

Place :

 Name of Proprietor / Partner of the
 firm Chartered Accountants
 Membership Number :

*For arriving at the shareholding of persons constituting the Dominant Promoter Group the shareholding of close relatives, namely parents, spouse, children and their descendants, brothers, sisters and associates only may also be counted provided these relatives give an irrevocable, unconditional support in writing on the prescribed format of Exchange.

Annexure - DPG- 2 :

Undertaking from Relative of Persons constituting DPG
(Dominant Promoter / Partner Group)

{Each relative is to submit separate copy of this disclosure in the form of Annexure}

I, Mr. /Ms / Mrs. _____, son / daughter / wife of

_____ Resident of _____
_____ declare that

I am the absolute owner of _____ (Number of shares) Shares of
Rs. _____ each of Rs. _____ per share paid up, which constitutes _____ % of the
total paid up capital of the company _____ Private Limited / Limited
_____ as on this date.

OR*

I am partner in the Partnership Firm _____ for sharing
profit and loss to the extent of _____% as as _____ (Date)

* Strike out whichever is not applicable.

I state that I shall irrevocably and unconditionally support in respect of my shareholding /
sharing pattern.

Mr./Mrs. _____, a shareholder / partner in the above mentioned company /
partnership firm. I further state that I have no objection to my above mentioned shareholding /
sharing pattern being clubbed with the shareholding / sharing pattern of Mr. /
Mrs. _____ who is my _____ (give relationship with the latter) for
the purpose of determining the dominant promoter / partner group of the said Company /
Partnership Firm

This support is irrevocable and I also undertake to give prior information to the Multi
Commodity Exchange of India Limited before selling or otherwise transferring my part or whole
of my above mentioned shareholding / sharing pattern.

Date:

Place:

Signature:

WITNESS BY:

Name:

Address:

Signature:

Place:

Date:

CERTIFICATE

This is to certify that the shareholding / sharing pattern of _____ as indicated above, based on my / our scrutiny of the books of accounts, records and documents is true and correct to the best of my/ our knowledge and as per information provided to my / our satisfaction.

Date: For (Name of Chartered Accountant firm)

Place: _____
Name of Proprietor / Partner Stamp of the firm
Chartered Accountants
Membership Number:

*For arriving at the shareholding of persons constituting the Dominant Promoter Group the shareholding of close relatives, namely parents, spouse, children and their descendants, brothers, sisters and associates only may also be counted provided these relatives give an irrevocable, unconditional support in writing on the prescribed format of Exchange.

:

Annexure - DPG-2 :

UNDERTAKING FROM CORPORATES SUPPORTING DPG(Dominant Promoter Group)

We, M/s _____ Limited, incorporated as a company under the Companies Act, 1956 and having its registered office at : _____ do state as under :

(a) As per the existing norms of Multi Commodity Exchange of India Limited (MCX) the shareholding of Mr. / Ms _____* in our company in direct proportion to our shareholding in the TCM Member company, may be reckoned for the purpose of arriving at the dominant group in M/s. _____ (TCM Company).

(b) We are _____% shareholder of the TCM company and Mr. / Ms _____ dominant promoters of the Trading Member Company along with his / her specified relatives i.e. _____ are having _____% shareholding in our company.

(c) Mr. / Ms. _____* have requested Multi Commodity Exchange of India Limited to consider their shareholding in our company in the permissible proportion to arrive at the dominant shareholders in the TCM Company and also requested us to given an irrevocable undertaking extending unconditional support to Mr. / Ms _____ (Name of the Dominant Promoters of the TCM company) to enable Multi Commodity Exchange of India Limited to consider aforesaid as dominant group.

(d) We hereby extend our unconditional and irrevocable support in support of Mr. / Ms _____ (Name of the Dominant Promoters of TCM company) for the purpose of the determining the dominant group in the said TCM company.

(e) We also undertake to give prior information to Multi Commodity Exchange of India Limited before effecting any change in the shareholding of Mr / Ms _____*

WITNESS BY

For _____

Signature(s)

Director

Name

Date:

Address

Place:

Date

* Name of Dominant Promoters of the TCM company along with their specified relatives having shareholding in the corporate giving the undertaking.

CERTIFICATE

This is to certify that the shareholding of M/s _____ is/are as mentioned below, based on our scrutiny of the books of accounts, records and documents.

We further certify that the information given above is true and correct to the best of our knowledge and as per the information provided to our satisfaction.

Sr. No	Name of Shareholder	No of Shares	Paid up Share Capital	% of share holding

Date : _____ For (Name of Accounting Firm)

Place :

Name of Partner
Chartered Accountant
Membership Number
(Stamp of firm of Chartered Accountant Firm)

Note : The above certificate and Board Resolution should be given along with the undertaking as given by corporates supporting the dominant promoter group.

BOARD RESOLUTION

(CERTIFIED TRUE COPY OF THE RESOLUTION PASSED AT THE MEETING OF THE BOARD OF DIRECTORS OF _____(NAME OF COMPANY) HELD ON _____(DATE) AT _____(VENUE)

Resolved that the company do extend its unconditional and irrevocable support in favour of Mr. / Ms. _____ for the purpose of determining the dominant group in M/s _____ (Trading-cum-Clearing Member) and accordingly, execute necessary documents including an irrevocable undertaking to give effect to the same and submit it to Multi Commodity Exchange of India Limited (MCX).

Resolved further that Mr. /Ms. _____, Director(s) of the company be and is / are hereby authorised to execute necessary documents including undertakings as prescribed by Multi Commodity Exchange of India Limited from time to time.

Certified to be true

For _____ (Name of Company)

_____ (Signature)